



Sector #  
PATENT  
81754.0021

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kazunobu KUWAZAWA

Serial No: 09/544,392

Filed: April 6, 2000

For: SEMICONDUCTOR DEVICE AND METHOD  
FOR MANUFACTURING THE SAME

Art Unit: Not assigned

Examiner: Not assigned

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION**

Box MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the "Notice to File Missing Parts of Application, Filing Date Granted," dated June 23, 2000, response to which is due August 23, 2000, enclosed are the following:

- ☒ A copy of the "Notice to File Missing Parts of Application Filing Date Granted".
- ☒ A "Declaration and Power of Attorney" executed by the inventor(s).
- ☒ An English Translation of the Application.
- ☒ A check in the amount of \$3,326.00 in payment of the filing fee.
- ☒ A check in the amount of \$130 to cover the surcharge for late filing of the Declaration.

The Commissioner is authorized to charge any underpayment to our Deposit Account No. 50-1314. A copy of this letter is enclosed.

Respectfully submitted,  
Date: August 23, 2000

By: \_\_\_\_\_

Louis A. Mok

Registration No. 22,585

Attorney for Applicant(s)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents Washington D.C. 20231, on	
August 23, 2000	_____
Date of Deposit	_____
Louis A. Mok	_____
Signature	8/23/00
_____	Date

HOGAN & HARTSON L.L.P.  
500 South Grand Avenue, Suite 1900  
Los Angeles, California 90071  
Phone: 213-337-6700  
Fax: 213-337-6701

## FORMALITIES LETTER



\*OC000000005198256\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/544,392	04/06/2000	Kazunobu Kawasaki	005317-20069

Louis A Mak  
500 South Grand Avenue Suite 1900  
Los Angeles, CA 90071



Date Mailed: 06/23/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English.
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 950.**

*A copy of this notice MUST be returned with the reply.*

*[Signature]*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

09/01/2000 GTEFFERA 00000106 09544392

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:101	690.00 OP
02 FC:103	2142.00 OP
03 FC:102	234.00 OP
04 FC:104	260.00 OP
05 FC:139	130.00 OP

09/21/2000 JARTIS 00000009 501314 09544392  
01 FC:105 130.00 OP

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kazunobu KUWAZAWA

Serial No: 09/544,392

Filed: April 6, 2000

For: SEMICONDUCTOR DEVICE AND METHOD FOR  
MANUFACTURING THE SAME

Box MISSING PARTS

Assistant Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

Transmitted herewith for filing is the English language translation of the patent application identified above.

- ☒ 24 sheet(s) of drawings (☒ formal ☐ informal) is(are) enclosed.
- ☒ 54 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.
- ☒ An assignment of the invention to SEIKO EPSON CORPORATION ☒ is enclosed ☐ will follow.
- ☐ An associate power of attorney ☐ is enclosed ☐ will follow.
- ☐ A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 & 1.27 is enclosed.
- ☒ Declaration and Power of Attorney ☒ is enclosed ☐ will follow.
- ☐ A certified copy of Japanese Patent Application No. 11-099033 filed April 6, 1999, and 2000-102083 filed April 4, 2000 from which priority is claimed under 35 U.S.C. § 119 will follow.
- ☐ IDS enclosed (☐ with references).
- ☐ Preliminary Amendment is enclosed.

## CALCULATION OF FEES

ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	139	-20	119	LG=\$18 SM=\$9	\$18	2142	
B	INDEPENDENT CLAIMS FEE*	6	-3	3	LG=\$78 SM=\$39	\$78	234	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)							\$ 2376
D	MULTIPLE-DEPENDENT CLAIMS FEE					LARGE ENTITY FEE = \$260 SMALL ENTITY FEE = \$130		\$ 260
E	BASIC FEE					LARGE ENTITY FEE = \$690 SMALL ENTITY FEE = \$345		\$ 690
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 3326
G	ASSIGNMENT RECORDING FEE						\$ 40	\$ 40
	*LIST INDEPENDENT CLAIMS 1, 2, 35, 51, 52, 69.							

"Continued on Second Page"

- ☒ A check in the amount of \$ 3326 to cover the filing fee is enclosed.
- ☒ A check in the amount of \$ 40 to cover Assignment Recordation fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. **A copy of this sheet is enclosed.**

- ☒ A check in the amount of \$ 40 to cover Assignment Recordation fee is enclosed.

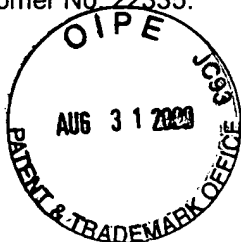
- ☒ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. **A copy of this sheet is enclosed.**

- ☒ Any additional filing fees required under 37 C.F.R. § 1.16

- ☒ Any patent application processing fees under 37 C.F.R. § 1.17

Please associate this application with the attorneys of record and with the correspondence address recorded for Customer No. 22335.

Date: August 23, 2000



Respectfully submitted,

HOGAN & HARTSON LLP

By:

**Louis A. Mok**

Registration No. 22,585

Attorney for Applicant(s)

500 South Grand Avenue, Suite 1900

Los Angeles, California 90071

Telephone: 213-337-6700

**Facsimile: 213-337-6701**